

# **List of Counterparties Rated by SVO**

**For Schedule DB, Part D, Section 1**

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**May 31 2025**

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**NOTE:**

An insurance company that wishes to be added to:

- the **List of Counterparties Rated by the SVO for Schedule DB, Part D, Section 1**

**must file the counterparty with the SVO** if they wish to obtain a final NAIC Designation for reporting purposes.

Please refer to the "Counterparties Designated By The SVO For Schedule DB, Part D, SECTION 1" in Part 2 of the Purposes and Procedures Manual for text discussing the Counterparty application process and a detailed discussion of the preliminary status of NAIC Designations assigned under this process.

If an insurance company doesn't file an annual update by June 1, 2021, the counterparty will be deleted from the List effective July 1, 2021. If deleted, the insurance company will have to submit an initial filing in order for the counterparty to be placed on the List.

### Approved Counterparties

COUNTERPARTY NAME	LOCATION	EXCHANGE	DESIGNATION	APPROVAL DATE	EXPIRATION DATE
AIG Markets, Inc.	Wilton, CT	OTC	2.A	04/09/2025	06/30/2026
Australia & New Zealand Banking Group Ltd	Melbourne	OTC	1.D	05/29/2025	06/30/2026
Bank of America, N.A.	Charlotte, NC	NASDAQ	1.C	05/09/2025	06/30/2026
Bank of Montreal	Montreal, CA	TSE	1.C	05/09/2025	06/30/2026
Barclays Bank, Plc	London, UK	LSE	1.E	05/09/2025	06/30/2026
BNP Paribas	Paris, France	OTC	1.E	05/09/2025	06/30/2026
BofA Securities, Inc	New York, NY	NYSE	1.E	05/23/2025	06/30/2026
BofA Securities, Inc.	Wilmington, DE	NYSE	1.E	05/09/2025	06/30/2026
CANADIAN IMPERIAL BANK OF COMMERCE (CIBC)	TORONTO, CANADA	OTC	1.D	05/29/2025	06/30/2026
Citibank, N.A.	Sioux Falls, SD	NYSE	1.E	05/09/2025	06/30/2026
Citibank, NA	New York, NY	OTC	1.E	05/19/2025	06/30/2026
Citigroup Global Markets Inc.	New York, NY	NYSE	1.E	05/09/2025	06/30/2026
Coöperatieve Rabobank U.A.	Utrecht, Netherlands	LSE	1.D	05/09/2025	06/30/2026
Corebridge Markets LLC	Houston, TX	OTC	2.C	04/09/2025	06/30/2026
Credit Agricole Corporate and Investment Bank	Montrouge, France	PSE	1.E	05/09/2025	06/30/2026
Credit Agricole Corporate and Investment Bank	France	France	1.D	05/19/2025	06/30/2026
Credit Suisse International	London, UK	OTC	1.D	05/19/2025	06/30/2026
Deutsche Bank AG	Frankfurt, Germany	Frankfurt Stock Exchange	1.G	05/09/2025	06/30/2026
Deutsche Bank AG - London Branch	London, UK	NYSE	1.F	05/26/2024	06/30/2025
Deutsche Bank AG - New York Branch	New York, NY	NYSE	1.F	05/19/2025	06/30/2026
Fifth Third Bank, Ohio	Cincinnati, OH	OTC	1.G	05/29/2025	06/30/2026
Fortitude Life Insurance & Annuity Company	Jersey City, New Jersey	New York	2.A	05/29/2025	06/30/2026
Goldman Sachs & Co. LLC	New York, NY	NASDAQ	1.E	05/09/2025	06/30/2026
Goldman Sachs Bank USA	New York, NY	OTC	1.E	05/09/2025	06/30/2026
Goldman Sachs International	London, UK	London Stock Exchange	1.E	05/09/2025	06/30/2026
GOLDMAN SACHS MITSUI MARINE DERIVATIVE PRODUCTS, L.P.	Wilmington, DE	OTC	1.D	05/30/2025	06/30/2026
HSBC Bank PLC	London, UK	London Stock Exchange	1.D	05/09/2025	06/30/2026
HSBC Bank USA, N.A.	McClean, VA	NYSE	1.E	05/09/2025	06/30/2026
HSBC Bank USA, N.A.	New York, NY	NASDAQ	1.D	05/19/2025	06/30/2026
ING Capital Markets LLC	New York, NY	New York	1.D	05/20/2025	06/30/2026
ING Capital Markets LLC	Wilmington	NYSE	1.D	05/13/2025	06/30/2026
J.P. Morgan Chase & Co.	New York, NY	NYSE	1.E	05/28/2025	06/30/2026
J.P. Morgan Securities, LLC	New York, NY	NASDAQ	1.D	05/27/2025	06/30/2026
J.P. Morgan Securities, LLC	Wilmington, DE	NYSE	1.C	05/09/2025	06/30/2026
Jefferies Financial Services, Inc.	New York, NY	OTC	2.B	05/13/2025	06/30/2026
JP Morgan Chase Bank, N.A.	Columbus, OH	NYSE	1.C	05/09/2025	06/30/2026
JP MORGAN CHASE BANK, NATIONAL ASSOCIATION	NEW YORK BRANCH	NYSE	1.C	06/24/2024	06/30/2025
Merrill Lynch Capital Services, Inc.	New York	OTC	1.G	05/29/2025	06/30/2026
Mizuho Capital Markets LLC	Wilmington, DE	NYSE	1.G	05/09/2025	06/30/2026
Mizuho Capital Markets LLC	New York, NY	NYSE	1.F	05/28/2025	06/30/2026

COUNTERPARTY NAME	LOCATION	EXCHANGE	DESIGNATION	APPROVAL DATE	EXPIRATION DATE
Morgan Stanley & Co. International Plc	London, UK	NASDAQ	1.C	05/09/2025	06/30/2026
Morgan Stanley Capital Services LLC	Wilmington , DE	NYSE	1.E	05/09/2025	06/30/2026
Morgan Stanley Capital Services, Inc	New York, NY	OTC	1.C	05/20/2025	06/30/2026
MUFG Bank, LTD	New york	New York	1.G	05/29/2025	06/30/2026
MUFG Bank, Ltd.	Tokyo, Japan	TSE/TYO	1.F	05/09/2025	06/30/2026
National Australia Bank Limited	Melbourne, Australia	Australian Stock Exchange	1.D	05/09/2025	06/30/2026
NATIXIS	NY	OTC	1.E	05/29/2025	06/30/2026
Natixis S.A.	Paris, France	Paris Stock Exchange	1.E	05/09/2025	06/30/2026
Natwest Markets PLC	London, UK	London Stock Exchange	1.E	05/20/2025	06/30/2026
Nomura Global Financial Products, Inc	New York , NY	NYSE	1.G	05/09/2025	06/30/2026
PRUCO Life Insurance Company of Arizona	United States	OTC	1.D	05/29/2025	06/30/2026
Prudential Global Funding	Newark, NJ	OTC	1.E	05/29/2025	06/30/2026
Rabobank Nederland - NY Branch	New York, NY	OTC	1.C	05/20/2025	06/30/2026
Royal Bank of Canada	Toronto, Canada	Toronto Stock Exchange	1.C	05/09/2025	06/30/2026
SG Americas Securities, LLC	Wilmington, DE	NYSE	1.F	05/28/2025	06/30/2026
SMBC Capital Markets Inc.	Wilmington , DE	NYSE	1.F	05/28/2025	06/30/2026
Societe Generale - New York Branch	New York	NYS	1.E	05/29/2025	06/30/2026
Societe Generale S.A.	Paris, France	Paris Stock Exchange	1.E	05/09/2025	06/30/2026
Swiss Re Corporate Solutions Global Markets Inc.	New York, NY	Swiss Exchange	1.D	04/11/2024	06/30/2025
Swiss Re Risk Solutions Corporation	Wilmington, DE	N/A	1.E	05/13/2025	06/30/2026
Toronto Dominion Bank	Toronto, Canada	Toronto Stock Exchange	1.C	05/09/2025	06/30/2026
TRUIST BANK	Charlotte, NC	NYSE	1.G	05/29/2025	06/30/2026
UBS AG	Zurich, Switzerland	OTC	1.E	05/09/2025	06/30/2026
Wells Fargo Bank N.A.	Sioux Falls, SD	NYSE	1.E	05/09/2025	06/30/2026
Wells Fargo Bank N.A.	San Francisco, CA	OTC	1.D	05/28/2025	06/30/2026
Wells Fargo Securities, LLC	Wilmington , DE	NYSE	1.E	05/09/2025	06/30/2026

### Expired Counterparties

COUNTERPARTY NAME	LOCATION	EXCHANGE	DESIGNATION	APPROVAL DATE	EXPIRATION DATE
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